SiC Powder Production-Quality Control

Richard Haber

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Goals of Today's Presentation

- Provide a better understanding of the relationship the carbothermic production of SiC
- Demonstrate the effects of precursor impurities, reaction time and spatial location on physical and chemical characteristics of SiC for dynamic energy dissipation applications

Global Challenges for SiC

- Need for a blend between metallurgical and ceramic grade SiC
- •Varying market need ~800,000 to 1.3M tpa
- •Balance between the black and green markets; chemical uniformity in the crystalline market
 - ➤ particulate diesel filters
 - >ceramic armor
 - ➤ semiconductor
 - >silicon wire cutting saws
 - **≻**Abrasives
 - ➤ Varied optics (mirrors)
- •Changes from small scale Acheson to large scale, ie Hennepin, IL or Delfzijl, NE
- Cost Challenges
 - **≻**Energy
 - **≻**Environment
 - >Pet coke/coal costs



Wire saw for Si in PV



Particulate diesel filters



IBA Spectra, Kevlar Vest & Helmet B₄C & SiC Torso & Side Plates

SiC

- SiC is derived in bulk form by pure vapor phase reactions, ie
 CH₃SiCl₃ SiC + HCl
- This reaction can be varied by the inclusion of particulate
- Si can be reacted to graphite (particulates or solid preforms) in a solid state reaction; again this can be varied by mixtures of SiC + C
- Solid state sintered or liquid phase sintered SiC

Key in most of these processes is the inclusion of SiC particulate, mostly derived from the carbothermic reduction (Acheson Process) or $SiO_2 + C - SiC + CO$





Chemical Variations between Carbon Sources

- •Acheson processed SiC had many geographically influenced precursor
 - •Chinese carbon originates from coal
 - American and European carbon originates from petroleum coke
- •Silica can also regionally vary with Fe, Ti and Al typical variants

Petroleum Grade Coke

| Properties | Fuel Grade Green | Anode Grade Calcined |
|----------------------------------|---------------------|-------------------------|
| Sulfur (wt%) | 2.5-5.5 | 1.7-3.0 |
| Ash (wt %): Si, Fe, Ti | 0.1-0.3 | 0.1-0.3 |
| Nickel (ppm) | <1 | 165-350 |
| Vanadium (ppm) | 200-400 | 120-350 |
| Residual Hydrocarbon (wt%) | 9-12 | <0.25 |

Anthracite Coal

| | NIST 1633 | | NIST 1633a | |
|-----------|-------------------------|----------------------|-------------------------|----------------------|
| Element | NIST value ¹ | USGS | NIST value ¹ | USGS |
| | (mean SD) | average ² | (mean SD) | average ³ |
| SI (%) | | 27±3.3 | 22.8±0.8 | 26±2.6 |
| Al | | 17±2.8 | 14 | 18±3.1 |
| Fe | | 8.0±0.93 | 9.40±0.10 | 11±1.7 |
| Mg | | 2.2±0.32 | .455±0.010 | .71±0.14 |
| Ca | | 5.4±1.2 | 1.11±0.01 | 1.3±0.31 |
| Na | | .25±0.03 | .17±0.01 | .16±0.03 |
| K | 1.72 | 1.3 ± 0.15 | 1.88 ± 0.06 | 1.5 ± 0.19 |
| Ti | | .80±0.15 | .8 | .85±0.18 |
| Mn | .0493±0.0007 | .076±0.11 | .0190 | .026±0.08 |
| As (μg/g) | 61±6 | ⁴ <100 | 145±15 | 190±49 |
| В | 430 | 440±46 | | 32±4.0 |
| Ba | | 1,600±490 | 1,500 | 910±160 |
| Be | 12 | 15±1.5 | 12 | 14±1.9 |
| Ce | | 170±29 | 180 | 160±53 |
| Co | 38 | 38±4.9 | 46 | 38±6.2 |
| Cr | 131±2 | 120±23 | 196±6 | 180±33 |
| Cu | 128±5 | 100±25 | 118±3 | 93±21 |
| Eu | | 3.1±0.5 | 4 | 3.4±0.6 |
| Ga | 49 | 39±5.8 | 58 | 54±11 |
| La | | 96±13 | | |
| Мо | | | 29 | 28±6.2 |
| Nd | | 60±15 | | 100±29 |
| Ni | 98±3 | 110±15 | 127±4 | 140±19 |
| Pb | 70⊥4 | 74⊥9.0 | 72.4⊥0.4 | 76⊥12 |
| Sc | | 25±4.0 | 40 | 29±5.7 |
| Sr | 1,380 | 1,700±30 | 830±30 | 900±140 |
| V | 214+8 | 200+24 | 300 | 240+36 |
| Υ | | 53±7.8 | | |
| Yb | | 6.6±0.9 | | |
| Zr | | 180±29 | | |
| | | | | |

SiC Production

| Country | Capacity (tpa) |
|--------------|----------------|
| USA | 50,000 |
| Brazil | 43,000 |
| Spain | 20,000 |
| Germany | 36,000 |
| China | 455,000 |
| Switzerland | 8,000 |
| France | 16,000 |
| Netherlands | 65,000 |
| Romania | 37,000 |
| South Africa | 30,000 |
| Russia | 80,000 |
| India | 5,000 |
| Japan | 60,000 |
| Mexico | 30,000 |
| Norway | 85,000 |
| Venezuela | 40,000 |
| Others | 180,000 |
| Total | 1,240,000 |



Large scale furnace capable of 250 tons, i.e. Washington Mills, Hennepin, IL and Kollo Delfzil, NE





Traditional small furnace Acheson process up to 30 tons

Sources: IM and USGS, 2007

This does not include beta-SiC from smaller, fluidized bed producers, ie. Superior Graphite



Acheson processed SiC had many geographically influenced precursor

- Uncertainty of Chinese raw materials
- •Reduced production of ceramic grades in North America and Europe

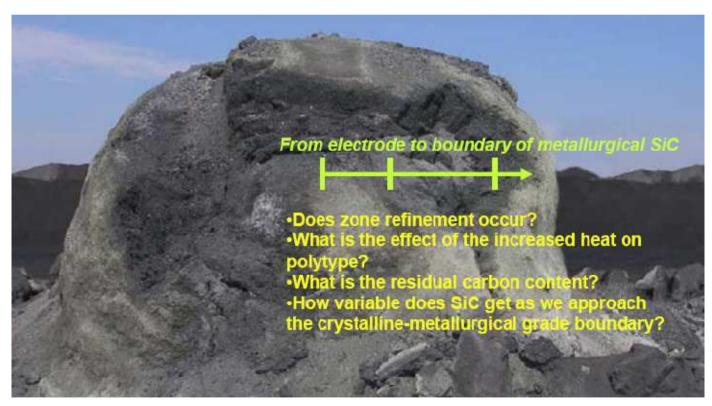
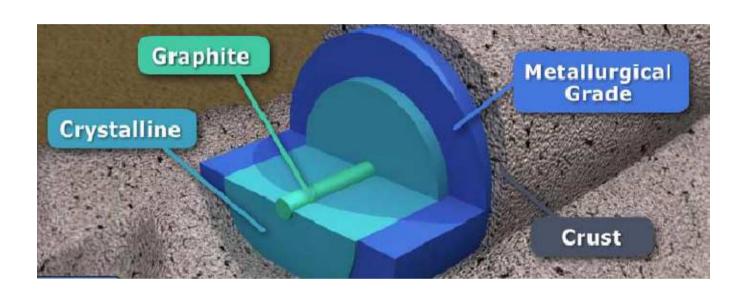


Photo from Washington Mills, Hennepin, IL



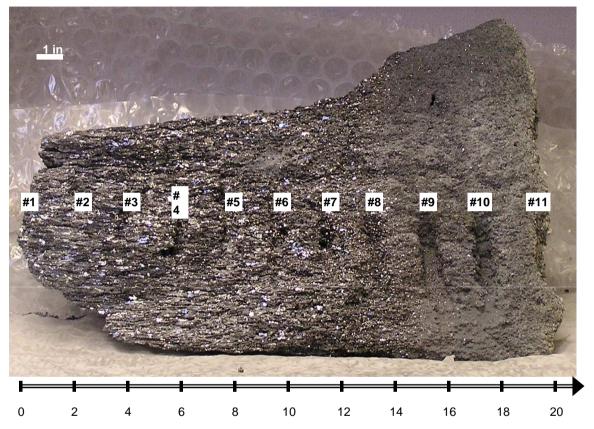
SiC Selection/Mining Process





- •What is the influence of thermal variation?
- Does zone refinement occur?
- •What is the impact of these variations on the chemistry and crystallinity of SiC?

Balance between crystalline and metallurgical SiC



Distance from electrode edge to metallurgical grade SiC edge (in)

| % | 1 | 2 | 3 | 4 | 5 | 6 |
|---------|------|------|------|------|------|------|
| SiC | 99 | 99.1 | 98.8 | 98.8 | 98.6 | 98.2 |
| Free Si | 0.06 | 0.2 | 0.51 | 0.55 | 0.66 | 0.59 |
| Free C | 0.14 | 0.1 | 0.07 | 0.07 | 0.06 | 0.09 |
| Al | 0.01 | 0.01 | 0.01 | 0.02 | 0.03 | 0.07 |
| Fe | 0.03 | 0.03 | 0.04 | 0.05 | 0.07 | 0.12 |

| Polytypes | 1 | 2 | 3 | 4 | 5 | 6 |
|-----------|-------|-------|-------|-------|-------|-------|
| 6H | Major | Major | Major | Major | Major | Major |
| 33R | 4.5 | 3.4 | 4.7 | 4.7 | 4.7 | 5.1 |
| 4H | 1.4 | 1.1 | 1.2 | 1.3 | 9.5 | 26 |
| 15R | 1.1 | 0.8 | 0.4 | 0.4 | 2.8 | 1.2 |
| Si | 0.4 | 0.6 | 1.2 | 1.6 | 1.8 | 0.6 |



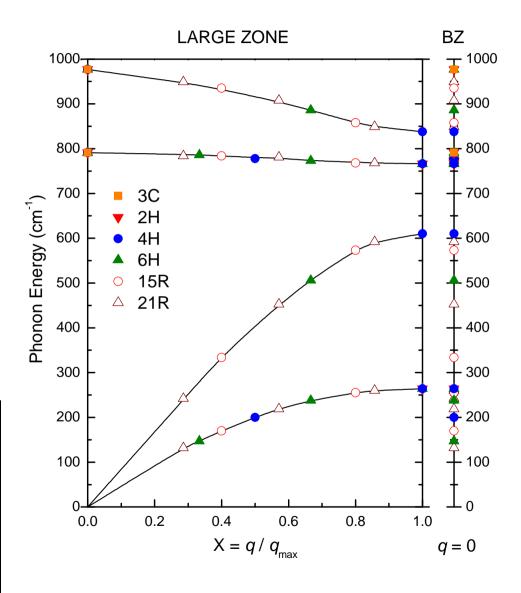
Quality of powder is visually sorted by the producer



Interpretation of Raman Spectra of SiC Polytypes

- In the large zone (LZ) representation, which unfolds the BZ phonon dispersion curves in the axial direction up to $q_{\rm max}$, the phonon dispersion curves become nearly independent of polytype.
- Points of this common LZ spectrum accessible to Raman measurements have special values of the reduced momentum $X = q / q_{max}$ that are equivalent to q = 0 in the BZ representation. These special points on the phonon dispersion curves in the large zone are characterized by small energy discontinuities for all accessible reduced momentum values, except for X = 0.
- The use of the LZ scheme allows identification of different SiC polytypes by Raman spectroscopy measurement. For example, the band at 510 cm⁻¹ is unique in the 6H polytype, whereas the band at 335 cm⁻¹ is unique in the 15R polytype.

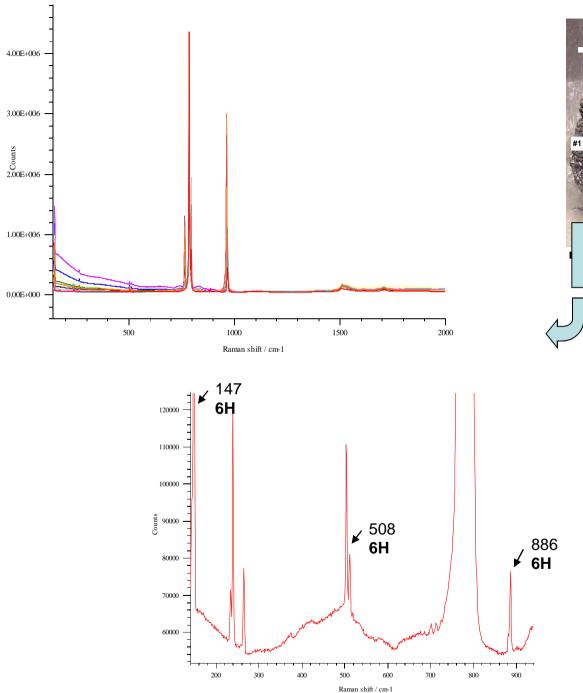
| Ramsdell notation | Atoms per unit cell | Accessible values of X |
|-------------------|---------------------|------------------------|
| 3C | 2 | 0 |
| 2H | 4 | 0, 1 |
| 4H | 8 | 0, 1/2, 1 |
| 6H | 12 | 0, 1/3, 2/3, 1 |
| 15R | 10 | 0, 2/5, 4/5 |
| 21R | 14 | 0, 2/7, 4/7, 6/7 |

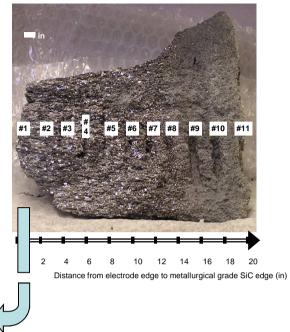


*after L. Patrick et al, PR 143 526, PR 167 809, PR 170 698, PR 173 787

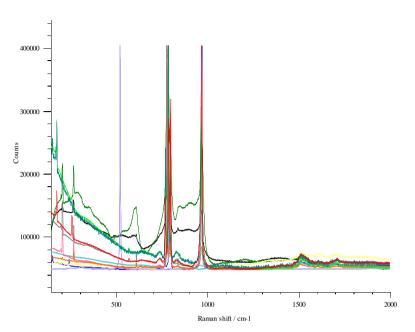
Summary of Raman Analysis

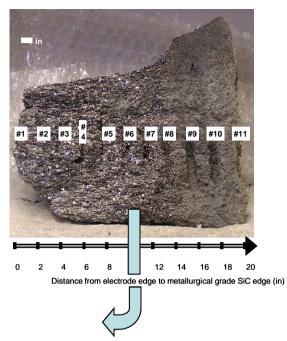
| | Distance | SiC polytypes identified (% of all observations) | | | | | s) | Graphitic Free | |
|----------|-------------------------------|--|-----|-----|------|-----|-----|--------------------|---------------------|
| Sample # | from electrode edge, in | 3C | 2H | 4H | 6H | 15R | 21R | carbon detected | silicon detected |
| 1 | 0 | + - | + - | - | 100% | - | - | - | - |
| 2 | 2 | + - | + - | - | 100% | - | - | - | - |
| 3 | 4 | + - | + - | - | 100% | 1 | - | - | - |
| 4 | 6 | + - | + - | - | 100% | - | - | - | - |
| 5 | 8 | + - | + - | 10% | 80% | 1 | - | - | - |
| 6 | 10 | + - | + - | 70% | 20% | 20% | - | - | 10% |
| 7 | 12 | + - | + - | 40% | 30% | 10% | - | - | 10% |
| 8 | 14 | + - | + - | 70% | 20% | 10% | - | 10% | - |
| 9 | 16 | + - | + - | 30% | 70% | 10% | 20% | 70% | - |
| 10 | 18 | + - | + - | 10% | 10% | + - | + - | 70% | - |
| 11 | 20 | + - | + - | 10% | + - | + - | + - | 100% | - |

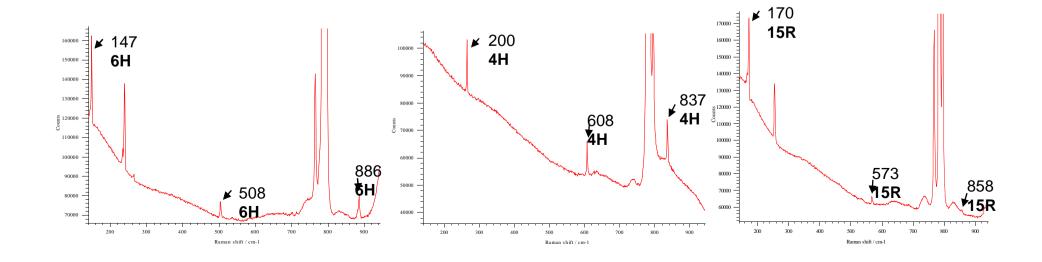




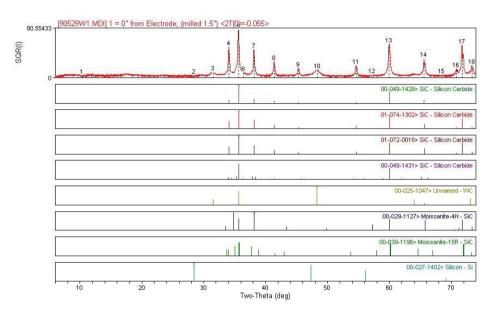
Shift in location adds crystalline variability

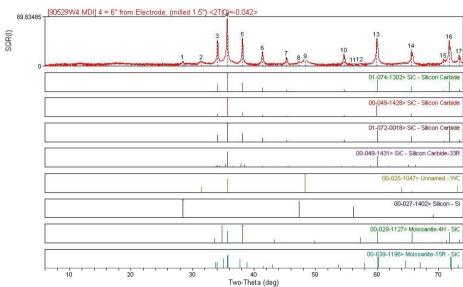




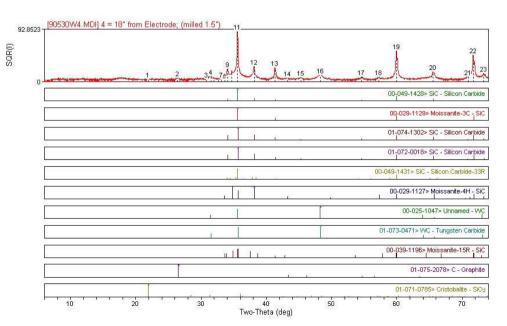


XRD Profiles in an Acheson Furnace





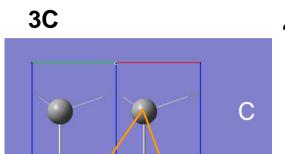
The complexity of the phase mixture increases within the furnace as a function of distance from the hot face

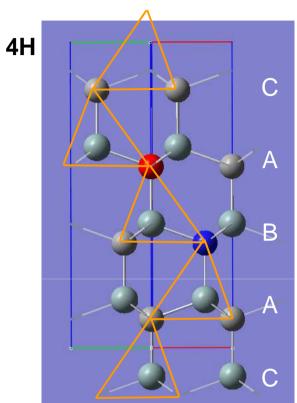


Summary of XRD Analysis

| Sample # | Distance from electrode edge, in | | SiC polyty | Graphitic carbon detected | Free silicon detected | | | | |
|-------------|--|-----|------------|---------------------------------|-----------------------------|------|---------|------|------|
| | euge, III | 3C | 2H | 4H | 6Н | 15R | 33R (t) | | |
| 1 | 0 | - | - | 1.4% | 93.0% | 1.1% | 4.5% | - | 0.4% |
| 2 | 2 | - | - | 1.1% | 94.7% | 0.8% | 3.4% | - | 0.6% |
| 3 | 4 | - | - | 1.2% | 93.7% | 0.4% | 4.7% | - | 1.2% |
| 4 | 6 | - | - | 1.3% | 93.6% | 0.4% | 4.7% | - | 1.6% |
| 5 | 8 | + - | - | 9.5% | 83.0% | 2.8% | 4.7% | - | 1.8% |
| 6 | 10 | + - | - | 26% | 67.7% | 1.2% | 5.1% | - | 0.6% |
| 7 | 12 | + - | - | 63% | 26.7% | 5.5% | 2.5% | 0.8% | 1.5% |
| 8 | 14 | + - | - | 41% | 14.7% | 5.5% | 4.1% | 0.7% | 0.6% |
| 9 | 16 | + - | - | 10% | >10% | 1.9% | 3.6% | 12% | - |
| 10 | 18 | + - | - | 4.3% | >10% | 0.9% | 5.6% | 7% | - |
| 11 | 20 | + - | - | 4.6% | >10% | 1.0% | 5.6% | 18% | - |
| (+ -) unam | (+ -) unambiguous identification was not possible (t) tentative assignment | | | | | | | | |

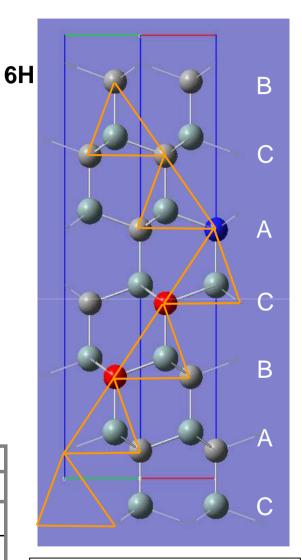
Inequivalent Substitutional Sites in SiC Lattice

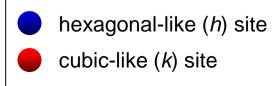




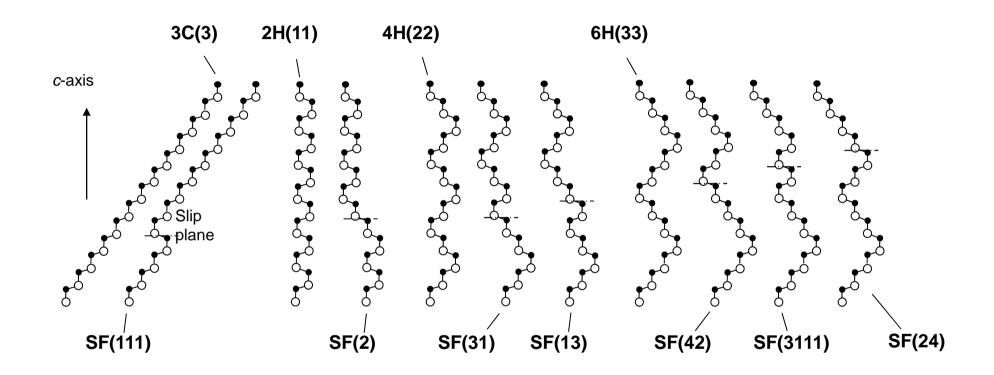
| | | | | C |
|-----------------|-----------------|-------------|-----------------|--------------------|
| Ramsdell | ABC | Jagodzinski | No. of inequiva | alent Si (C) sites |
| notation | notation | notation | cubic-like | hexagonal-like |
| 3C (zincblende) | ABC | k | 1 | 0 |
| 2H (wurzite) | AB | h | 0 | 1 |
| 4H | ABAC | hk | 1 | 1 |
| 6H | ABCACB | hkk | 2 | 1 |
| 15R | ABCACBCABACABCB | hkkhk | 3 | 2 |

В



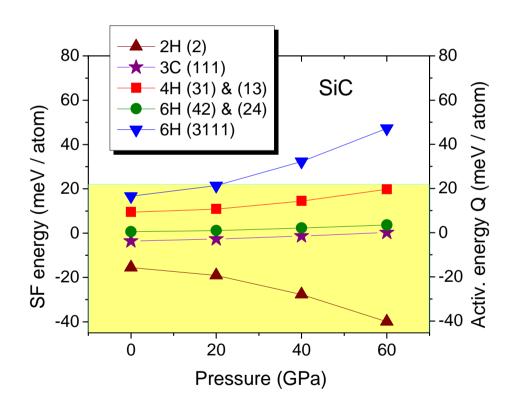


Stacking Faults in SiC Polytypes



Geometrically distinguishable stacking faults (SF) obtained by glide in 3C, 2H, 4H, and 6H SiC in different slip planes (dashed horizontal lines), viewed from a [1120] direction

SFE in SiC as a Function of Pressure Axial Next-Nearest Neighbor Ising (ANNNI) Model for Calculating Stacking Fault Energies



6H (3111) SF is critical for SiC plasticity at elevated pressures

| Critical Stress | 6H | 4H (31) |
|-----------------|--------|---------|
| (GPa) | (3111) | 4H (13) |
| SiC | 20 | 65 |

There is real implications to this in processing, ie machining, and in use ie. shock loading during take off



Summary



- SiC powder has beneficial attributes, but many incorrect assumptions have been made regarding it's uniformity
- Powder "variability" is characteristic of carbothermic reduced SiC
- High percentage of "non-US" based sources have lead to variable powder
- Properties of SiC polytypes can contribute to the variability of the dense part if not accounted for
- Pressure induced variability of stacking fault activation is an example of how variable polytypes are
- Can SiC be modified to be more machinable?? Stay Tuned!!!

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